Optimization of accurate estimation of single diode solar photovoltaic parameters and extraction of maximum power point under different conditions

Introduction. With the snowballing requirement of renewable resources of energy, solar energy has been an area of key concern to the increasing demand for electricity. Solar photovoltaic has gotten a considerable amount of consideration from researchers in recent years. Purpose. For generating nearly realistic curves for the solar cell model it is needed to estimate unknown parameters with utmost precision. The five unknown parameters include diode-ideality factor, shunt-resistance, photon-current, diode dark saturation current, and series-resistance. Novelty. The proposed research method hybridizes flower pollination algorithm with least square method to better estimate the unknown parameters, and produce more realistic curves. Methodology. The proposed method shows many promising results that are more realistic in nature, as compared to other methods. Shunt-resistance and series-resistance are considered and diode constant is not neglected in this approach that previously has been in practice. The values of series-resistance and diode-ideality factor are found using flower pollination algorithm while shunt-resistance, diode dark saturation current and photon-current are found through least square method. Results. The combination of these techniques has achieved better results compared to other techniques. The simulation studies are carried on MATLAB/Simulink. References 34, tables 5, figures 10.

Key words: maximum power point, maximum power point error, genetic algorithm, flower pollination algorithm.
accuracy, this research works takes in account all the five electrical model parameters.

**Aims and goals.** The research work has following contributions:

1. Series resistance $R_s$ and ideality factor $V_d$ are estimated using flower pollination algorithm (FPA).
2. The other 3 remaining parameters, namely shunt resistance $R_{sh}$, photon current $I_{phn}$, and saturation current $I_s$ are estimated using the least square (LS) method. The LS method helps to improve the FPA.
3. Two different PV cells are opted and used to examine the performance of the proposed method. Performance parameters such as maximum power point (MPP), MPPE and P-V characteristics curve are checked compared with the existing and proposed method to prove the effectiveness of proposed method.

The research paper is organized in sections and the detail is as: Section 2 encompasses the work done related to this topic which is already published. Section 3 presents the mathematical modeling and objective function derivation of single-diode cell model. Section 4 contains explanation of proposed technique. In section 5, the results and discussions about application of technique on different solar cells will be presented and a comparison will be made with different works already published. Section 6 presents the conclusion.

**Literature review.** From 2006 to 2016, the PV installations across the globe are increased from 7 GW to 300 GW. The foremost reason for large upsurge in the installations of PV systems is an increase in costs by the amount of 2.5 to 3.5 times. The elementary code for the PV systems is to seize light of sun with a PV module and convert it direct into electrical energy. The output for a PV module, is dependent on the climatical circumstances i.e., temperature and irradiance, is denoted with a single-diode-model [14].

The model of single-diode imitates extremely precise yield characteristics of diverse types of PV cells and modules for any type climatic circumstances. For the analysis of PV systems, it is frequently favored over the contemporaries’ ones because of it having fewer parameters and fewer complexities in computations

$$I = I_L - I_0 \left( e^{\frac{q(V+I.R_s)}{nK.T}} - 1 \right) - \frac{V + I. R_s}{R_{sh}},$$

(1)

where $I_L$ is the current generated by light; $I_0$ is the reverse saturation current; $q$ is the elementary charge ($1.602 \times 10^{-19}$ C); $V$ is the voltage; $n$ is the ideality factor of the diode; $K$ is the Boltzmann constant ($1.38 \times 10^{-23}$ J/K); $T$ is the temperature; $R_s$ is the series resistance; $R_{sh}$ is the shunt resistance.

The 5 factors to be defined are: $I_L$, $I_0$, $R_s$, $R_{sh}$ and $n$. In equation (1) $I$ is an understood function, such that $I = f(V, I)$. Therefore, the precise analytic explanation for $I$ is not feasible and the solution is taken with the help of iterative methods, i.e., Gauss-Seidel and Newton-Raphson. To devise $I = f(V)$ and to alleviate the process of solution, numerous explicit expressions of analytical methods occur in the published work. They employ calculations for example polynomial, Taylor series, Padé, and Chebyshev. For the single diode model, the 5 undetermined parameters determine functionality of a PV module in any climatic circumstances. Two approaches exist to discover the parameters:

1) with investigational information;
2) from the key-power points stated in the manufacturer’s datasheet.

The highlights in the datasheet have problem that they can only devise 4 equations alongside 5 parameters to be resolved. To ease the problem, a discrete $n$ value is supposed to resolve the 4 equations, but the parameters acquired may not be appropriate. To design 5th equation, De Soto [29] utilized the open-circuit situation at a temperature which is not according to the standard test conditions. Although, the final explanation’s vulnerable to a selected range of a temperature. An enhanced 5th equation is developed that correlates $n$ and the open circuit voltage ($V_{oc}$). The slope $dI/dV$ at short circuit condition is believed to be 5th equation that is equivalent to negative inverse of $R_{sh}$ but it is only valid if $R_{sh} > R_s$ and is mainly applicable for modules of silicon and it might flunk for solar cells of thin-film solar. Consequently, the dilemma is there to choose the 5th equation that achieves the process of solution. This research presents technique that approximate the 5 unknown parameters of the single-diode model is produced. Highlight of the method is that the design of the 5th equation uses an accurate area under the $I-V$ curve with other 4 equations derived from datasheet values. The recommended technique deems an $I-V$ set of data of a PV cell/module as it requires the area under the curve. This technique also demonstrates an approach for pondering for 5 parameters’ initial guesses. The recommended technique gets applied to earlier state few cells like copper indium gallium selenide, silicon, dye-sensitized and perovskite.

2. **Related works.** Many research works have been published which propose different methods to extract unknown parameters of solar cells. All the methods have advantages and merits related to it but they also have some demerits.

2.1. **Numerical methods.** The numerical methods are still in use, but they still depend on initial guesses for accuracy. If initial guesses are wrong, the solution gets converged to local minima that is a disadvantage. GA, PSO, SA, DA, and TLA are some meta-heuristic algorithms. Even with slightly wrong initial guesses they give accurate results. They are more likely to make solution convergence at global optima, but these methods can cause the convergence time to be long and iteration to be large making it a little unfeasible. GA, PSO, SA, DA, and TLA are some meta-heuristic algorithms.

It is concluded from above discussion that every technique possesses some merits and demerits related to it that doesn’t make it to be a perfect choice for parameter estimation. The proposed technique hybridized both
3. **Mathematical modelling of solar cell and objective function.** Simplicity and accuracy of single diode model makes it a good choice for considering it to use for parameter estimation [30, 31], and is shown in Fig. 1, in which $R_s$ represents the bulk and metal contact resistance, $R_{sh}$ represents electron holes pairs recombination, $I_o$ is the diode dark saturation current, $I_p$ is output current, $I_{ph}$ is photon current and $V_L$ is output voltage.

The relationship between $I_o$ and $V_L$ is given in next equation:

$$I_o = I_{ph} - I_d \cdot e^{\left(\frac{I_d + I_o \cdot R_s}{V_{di}}\right)} - \frac{V_L + I_o \cdot R_s}{R_{sh}},$$  \tag{2}

where $V_{di}$ is the diode internal voltage:

$$V_{di} = \frac{n \cdot k \cdot T \cdot S_s}{q},$$

where $S_s$ is the number of cells connected in series.

The parameters that aren’t stated in manufacturer datasheet and are yet to be determined are: $I_{ph}$, $I_o$, $R_s$, $R_{sh}$, and $V_{di}$. It is evident from (1), (2) that the characteristic curve relies on unknown parameters stated earlier. So, precise and accurate estimation of these unknown parameters is imperative.

So, the necessary set of equations that are needed for estimating undetermined parameters are following:

1. $I_o$ is obtained by putting load voltage $V_L = 0$ in Eq. (1) and making a short circuit at the load:

$$I_o = I_{ph} - I_d \cdot e^{\left(\frac{I_d + I_o \cdot R_s}{V_{di}}\right)} - \frac{I_o \cdot R_s}{R_{sh}}.$$ \tag{3}

2. Following equation is obtained by putting $I_o = 0$ in Eq. (1) and open circuiting the load terminal of the solar PV:

$$I_{ph} - I_d \cdot e^{\left(\frac{V_{oc}}{V_{di}}\right)} - \frac{V_{oc}}{R_{sh}} = 0.$$ \tag{4}

3. By putting maximum power point voltage $V_{mpp}$ and maximum power point current $I_{mpp}$ in (1):

$$I_{mpp} = I_{ph} - I_d \cdot e^{\left(\frac{V_{mpp} + I_{mpp} \cdot R_s}{V_{di}}\right)} - \frac{V_{mpp} + I_{mpp} \cdot R_s}{R_{sh}}.$$ \tag{5}

4. $P-V$ curve at MPP is obtained by drawing a tangent parallel to the voltage axis

$$\frac{dP}{dv_{mpp}} = 0.$$ \tag{6}

After solving (6) we have:

$$\frac{dI}{dV_{L}} \cdot I_{mpp} = -I_{mpp} \cdot V_{mpp}.$$ \tag{7}

Using Eq. (1) and (7), the following final equation is obtained:

$$I_{mpp} = \left(V_{mpp} - I_{mpp} \cdot R_s\right) \left(I_d \frac{V_{mpp} + I_{mpp} \cdot R_s}{V_{di}} - \frac{1}{R_{sh}}\right) = 0.$$ \tag{8}

5. At short circuit condition, the obtained slope:

$$\frac{dI}{dV_{L}} \cdot I_{mpp} = -1/R_{sh}.$$ \tag{9}

6. By solving Eq. (9):

$$\frac{I_d}{V_{di}} \cdot e^{\left(\frac{I_d \cdot R_s}{V_{di}}\right)} \cdot (R_{sh} - R_s) = \frac{R_s}{R_{sh}}.$$ \tag{10}

So, (3), (4), (5), (8), and (10) are needed for estimating 5 unknown parameters.

The $R_s$ and $V_{oc}$ will be used to derive the characteristic equation for solar P-V curve. FPA is applied on characteristic equation to estimate the 2 unknowns ($R_s$ and $V_{oc}$). Since characteristic equation depends on 2 parameters only so it makes solutions to convergence faster and accurate.

Following are the steps to derive the proposed characteristics equation.

The value of $I_{ph}$ is taken from Eq. (4) and is substituted in (3), (5) to get the expression for $I_s$ and $I_{mpp}$ as follows:

$$I_s = \left(y - x\right) I_d + \frac{V_{oc} - I_s \cdot R_s}{R_{sh}};$$ \tag{11}

$$I_{mpp} = \left(y - z\right) I_d + \frac{V_{oc} - I_{mpp} \cdot R_s}{R_{sh}};$$ \tag{12}

where:

$$x \cdot e^{\left(\frac{I_d \cdot R_s}{V_{di}}\right)} - 1; \quad y \cdot e^{\left(\frac{V_{oc}}{V_{di}}\right)} - 1; \quad z \cdot e^{\left(\frac{V_{mpp} + I_{mpp} \cdot R_s}{V_{di}}\right)} - 1.$$ \tag{13}

To find expressions for $I_s$ and $I_{mpp}$ in terms of $R_s$, $R_{sh}$ and $V_{di}$ the value of $I_o$ is taken from Eq. (10) and is substituted in (8), (11), (12) which gives:

$$I_s = \left(y - x\right) I_d + \frac{V_{oc} - I_s \cdot R_s}{R_{sh}};$$ \tag{13}

$$I_{mpp} = \left(y - z\right) I_d + \frac{V_{oc} - I_{mpp} \cdot R_s}{R_{sh}};$$ \tag{14}

$$I_{mpp} = \left(y - z\right) I_d + \frac{V_{oc} - I_{mpp} \cdot R_s}{R_{sh}};$$ \tag{15}

Equations (14), (15) are equated to get $R_{sh}$:

$$R_{sh} = R_s + \frac{R_s}{2 \cdot V_{mpp} - V_{oc}} \times \left(V_{di} \cdot (y - z) - (1 + z) \left(V_{mpp} - I_{mpp} \cdot R_s\right)\right);$$ \tag{16}
The probability switch. To make the problem easy, it’s supposed that each plant possesses one flower and every cross or self is being dealt by a parameter pollination and it is termed as local pollination in FPA. With help of pollinators i.e., air/wind is called self-pollination. The transfer of pollens with flowers around this heterogeneous pollination in FPA is called global facilitate in pollen exchange with flowers far away. So, distances so that they cross the gap among flowers and pollinators in cross-pollination that carry pollens at long shown in Fig. 3. Birds, bees and insects act as one is cross pollination and other is self-pollination as to the problem.

Flower owns only one pollen that is a possible solution to the problem.

The proposed equation is derived by using Eq. (16) and (17), as follows:

$$\begin{align*}
\text{f}(R_s, V_{di}) &= R_s + \frac{R_s}{2 \cdot V_{mpp} - V_{oc}} \left( \sqrt{V_{di} \cdot (y-z)} \right) - \frac{1}{1+x} \left( V_{mpp} - I_{mpp} \cdot R_s \right) \right] - R_{sh} = 0.
\end{align*}$$

So, (18) can only be used to estimate the values of $R_s$ and $V_{di}$. This model has only 2 unknowns instead 5 that makes it converge at a faster rate and generate accurate results. Equation (18) is a non-linear single-objective optimization function, and FPA is used to minimize it. The edge of this thing is that computation time is reduced number of equations required (five) have been reduced to 4 for estimating 5 parameters.

### 4. Proposed technique

The proposed technique employs FPA and least square method to estimate 5 unknown parameters. FPA is a nature inspired meta-heuristic optimization algorithm, and least square is a numerical method. Both the methods are briefly explained below and depicted in Fig. 2.

![Fig. 2. Flow chart for proposed technique](image)

#### 4.1. Flower pollination algorithm (FPA).

Yang proposed a meta-heuristic algorithm called FPA that is inspired by the process of pollination in flowering plants [32]. This cross-pollination is considered as global pollination while self-pollination is considered as local pollination for the process of evolution. Optimization capability of FPA is very good and it also has fast convergence rate. Many research studies have proven FPA to be better than contemporaries like PSO and GA in multi-peak test functions [32].

There are 2 ways of pollination in flowering plants, one is cross pollination and other is self-pollination as shown in Fig. 3. Birds, bees and insects act as pollinators in cross-pollination that carry pollens at long distances so that they cross the gap among flowers and facilitate in pollen exchange with flowers far away. So, this heterogeneous pollination in FPA is called global pollination. The transfer of pollens with flowers around with help of pollinators i.e., air/wind is called self-pollination and it is termed as local pollination in FPA.

The decision whether pollination is going to be cross or self is being dealt by a parameter $p$ called probability switch. To make the problem easy, it’s supposed that each plant possesses one flower and every flower owns only one pollen that is a possible solution to the problem.

The proposed technique

#### 4.1.1. Global pollination

Global pollination is carried by birds or insects which follow Levy flight characteristics which means the step size for global pollination obeys Levy distribution. Global pollination is described mathematically as:

$$X_{i}^{t+1} = X_{i}^{t} + \gamma \cdot L \cdot \left( X_{best} - X_{i}^{t} \right);$$

where $X_{best}$ represents the best individual solution in the iterations happened so far; $X_{i}^{t}$ is the $t^{th}$ generation solution (current generation); $X_{i}^{t+1}$ is the $(t+1)^{th}$ generation solution (next generation); $L$ represents the intensity of global pollination that is the step size of pollen movement; $\gamma$ is a scaling factor that controls step size.

The mathematical description of Levy distribution is as follows:

$$L = \frac{\lambda \cdot \Gamma(\lambda) \cdot \sin\left( \frac{\lambda \cdot \pi}{2} \right)}{\pi \cdot S^{\lambda + \lambda}} \cdot \left( S >> S_0 > 0 \right),$$

where $\Gamma(\lambda)$ represents the standard gamma function; $S$ is Levy flight step size; $S_0$ is the minimum step size; $\lambda$ is a constant ($\lambda = 1.5$).

The $S$ is generated by use of technique in [28] as follows:

$$S = \frac{U}{|V|^\lambda}; \quad \text{for } U \sim N(0, \sigma^2), \ V \sim N(0, 1),$$

$$\Sigma^2 = \frac{\Gamma\left(1 + \lambda\right) \cdot \sin\left(\lambda \cdot \pi/2\right)}{\Gamma\left(1 + \lambda\right) \cdot \lambda \cdot 2^{\frac{\lambda-1}{2}}},$$

where $U$ and $V$ obey the Gaussian distribution [32].

#### 4.1.2. Local pollination

Local pollination happens between nearby plants and is done by abiotic sources like
wind. The mathematical representation of local pollination is:
\[
X_i^{t+1} = X_i^t + \alpha \cdot (X_i^t - X_k^t), \quad \alpha \sim U(0,1);
\]  
(23)
where \(X_i^{t+1}\) is the single solution generated at \((t+1)\)th generation; \(X_i^t\) and \(X_k^t\) represent the \(j\)th and \(k\)th individual solutions respectively in the \(t\)th generation, and \(\alpha\) represents the local pollination coefficient which is uniformly distributed in \([0, 1]\).

4.1.3. Switching probability. Among global and local pollination, the decisive factor is called switch of probability that is represented by \(p\). In [32], it has been proved that when \(p = 0.8\), it gives good results. How \(p\) decides is given as:
\[
\text{Pollination Mode} = \begin{cases} 
\text{Global Pollination}, & r < p; \\
\text{Local Pollination}, & \text{otherwise,} 
\end{cases}
\]

where \(r \in [0, 1]\).

The fitness evaluation of FPA is calculated as
\[
\text{Fitness} = \text{fit}(X),
\]  
(24)
where \(X\) is an individual solution in the population, and the \(t\) represents the abstract expression of the optimization problem. It is to be noted that for different optimization problems, the mathematical expressions could be different.

The flowchart of FPA is shown in Fig. 4.

4.2. Least square method. The LS method [33] is an important numerical method which is used to obtain a regression line or a line that best-suits for a provided pattern. It’s defined with an equation that contains particular parameters. It’s mostly utilized in evaluation and regression. When used in regression, known as a standard approach for the approximation of set of equations that contains more number of equations than the number of unknowns.

The LS in fact explains the solution for the minimization of the sum of squares of deviations or the errors in the result of each equation, and finds the formula for sum of squares of errors, which facilitates to look for the fluctuations and variations in observed or experimental data.

The LS is mostly utilized in data fitting. The result which best-fits is expected to reduce the sum of squared errors or residuals that are differences between the observed/experimental values, and corresponding fitted value given in the model.

4.2.1. Least square method graph. For linear regression, the straight line is a best fitting line, as shown in the Fig. 5.

The given data points are aimed to be minimized using the technique of reducing residuals or offsets of each data point from the straight line. Surface, polynomial, and hyperplane problems often use vertical offset. While in common practices, perpendicular offsets are utilized as shown in Fig. 6.

5. Results and discussion. Two solar cell models are considered from [34], and are mentioned in Table 1, 2. Using values from tables, parameters are estimated using proposed technique and are compared with recently published research works to prove the efficiency.

<table>
<thead>
<tr>
<th>Table 1</th>
<th>STM-640-36-Manufacturer’s datasheet</th>
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<tr>
<td>(P_{\text{max}}, \text{W})</td>
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<td>(V_{\text{mp}}, \text{V})</td>
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<td>(I_{\text{mp}}, \text{mA})</td>
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<th>Table 2</th>
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5.1. Estimation of P-V characteristic curve with LS, GA, LS hybrid with GA and proposed method is represented in Table 3, 4.
Table 3
Estimated parameters of STM-640-36 with LS, GA, LS hybrid with GA and proposed method

<table>
<thead>
<tr>
<th>Name of the solar cell</th>
<th>Parameters to be estimated</th>
<th>Least square (LS)</th>
<th>Genetic algorithm (GA)</th>
<th>LS hybrid with GA [31]</th>
<th>Proposed method</th>
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<tr>
<td>STM-640-36</td>
<td>$I_{phn}$, mA</td>
<td>1.6634</td>
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<td>$I_d$, mA</td>
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<td>$R_s$, $\Omega$</td>
<td>0.2704</td>
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<td>$R_{sh}$, $\Omega$</td>
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<td>502.9223</td>
<td>488.2172</td>
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Table 4
Estimated parameters of JP-270-M60 with LS, GA, LS hybrid with GA and proposed method

<table>
<thead>
<tr>
<th>Name of the solar cell</th>
<th>Parameters to be estimated</th>
<th>Least square (LS)</th>
<th>Genetic algorithm (GA)</th>
<th>LS hybrid with GA [31]</th>
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Using these results from Table 3, 4, the $P$-$V$ characteristics curve are obtained and are shown in Fig. 7–10.

It is clear from the $P$-$V$ curves that the proposed approach is much closer to the MPP as compared to the other contemporary methods. Evaluation of parameters show that more realistic curves are produced using the proposed method in comparison to other methods. So, it is conclude that the proposed method produces way better results as compared to contemporary methods.

5.2. Estimation of $P$-$V$ maximum power point error with proposed, SA and Newton-Raphson and
least square method. MPPE is defined as the measured difference between the rated power $P_{\text{rated}}$ and the calculated power. This MPPE for different techniques have been summarized in Table 5. It is observed from Table 5 that the MPPE for the proposed method is least among all as compared to other methods.

### Table 5

<table>
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<tr>
<th>Parameter</th>
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<td>&amp;</td>
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</table>

6. Conclusions.

In this paper, characteristic equation in terms series resistance and diode-ideality factor are derived. Flower pollination algorithm is utilized on characteristic equation to estimate series resistance and diode-ideality factor. Least square method is utilized to estimate the remaining parameters such as shunt-resistance, photon-current, and diode dark saturation current. For the purpose of simulations and validations, 2 different solar cell models are considered. $P$-$V$ curves and maximum power point error are calculated using proposed technique. Solar panel of 270 W, hybrid least square and genetic algorithm was able to extract 269.7 W of power where proposed approach succeeded to extract 269.8 W that surpasses the hybrid least square and genetic algorithm proving it to be the better in terms of parameter extraction. Shunt and series resistances are considered and are not neglected in this approach that previously has been in practice. Also the number of equations is reduced that brings the edge of less computation burden. This will help producers and consumers in acquiring efficient solar panels that will increase electricity output and better revenue. This research for solar cell/panel can be utilized in energy storage system of distribution static compensator to efficiently improve the power quality in distribution system.

Conflict of interest. The authors declare that they have no conflicts of interest.

REFERENCES


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